



\_\_\_\_\_  
 Name of Insurance Company to which Application is made (herein called the “Insurer”\*)

## AIG CORPORATE IDENTITY PROTECTION

**NOTICE: AMOUNTS INCURRED FOR DEFENSE COSTS, ADMINISTRATIVE EXPENSES, NOTIFICATION COSTS, CRISIS EXPENSES, AND POST EVENT SERVICES EXPENSES SHALL REDUCE THE AMOUNT OF INSURANCE AVAILABLE TO PAY JUDGMENTS OR SETTLEMENTS AND SHALL BE SUBJECT TO THE APPLICABLE RETENTIONS.**

“**You,**” “**Your**” or “**Applicant**” refer individually and collectively to the **Applicant**, subsidiaries, persons, entities, and the authorized agent of all person(s) and entity(s), proposed for this insurance. Some sections of the Application may not apply to **You**. If this is the case, please mark “not applicable” (N/A). In the event **You** need more space to fully answer a question, please attach separate sheet(s) to this Application with **Your** full answer.

### I. GENERAL INFORMATION

Full Name of <b>Applicant</b>			
Description of Business:			
Corporate Structure	<input type="checkbox"/> Non-profit <input type="checkbox"/> Private <input type="checkbox"/> Public <input type="checkbox"/> Private with public debt		
State of Incorporation:	- or - <input type="checkbox"/> N/A		
<b>Applicant</b> Contact Name:		Contact E-Mail Address:	
Mailing Address:			
City, State, Zip:			
Telephone:		Fax:	
<b>Subsidiary</b> Coverage**:	<input type="checkbox"/> Requested <input type="checkbox"/> Not Requested <i>(Attach list of <b>subsidiaries</b> for which coverage is requested with addresses, websites, and business descriptions.)</i> **		
<b>Applicant</b> Home Page:			
Year Established:		Number of Employees:	
Projected Annual Revenue:	\$	Year end Assets:	\$

Broker Name:			
Broker Contact Name:		Broker E-mail Address:	
Broker Address:			
Broker City, State, Zip:			
Broker Telephone:		Broker Fax:	
Broker License Number:		<input type="checkbox"/> Agent <input type="checkbox"/> Broker <input type="checkbox"/> Excess and Surplus Lines	

\* If this blank is not completed “Insurer” shall mean the insurer that issues the policy to the **Applicant** based on this Application.

\*\* Regardless of the list of subsidiaries provided by **You**, there shall be no coverage for any subsidiary unless specifically endorsed to the proposed policy. Information provided in this application must incorporate subsidiaries for which coverage is requested.



**II. DESIRED TERMS**

Effective Date: [ ] Retroactive Date: [ ]

Limit: \$ [ ] Retention: \$ [ ]

**III. APPLICANT'S ACTIVITIES**

1. Industry NAIC Code and Title: [ ]

2. Retail Sales:

a. What percentage of annual sales are retail sales or services, meaning sales or services direct to individual consumers?

Less than 5%     More than 5% and less than 50%     More than 50%

b. If applicable, are you in compliance with requirements provided to you by a financial institution in connection with an agreement to accept credit card transactions including CISP; the PCI Data Security Standard; or, any other requirements provided to you?     Yes     No

3. Does **Applicant** have EACH of the following in place:

- a. a person or group responsible for information security     Yes     No
- b. a virus protection program     Yes     No
- c. a firewall     Yes     No
- d. a software update process, including updating patches and anti-virus software     Yes     No
- e. a complete network scan of external ports completed once every 90 days     Yes     No
- f. a user awareness training program for privacy and information security issues     Yes     No

4. Does **Applicant** have a current Information Security Policy which documents security procedures, responsibilities, and testing?     Yes     No

If yes, give details: \_\_\_\_\_

5. Does the Applicant have a current Information Security Incident Response Plan covering unintended release, theft or loss of personal information?     Yes     No

If yes, give details: \_\_\_\_\_

6. Does **Applicant** encrypt all sensitive and Personally Identifiable Information?     Yes     No

If yes, give details: \_\_\_\_\_

**IV. PRIOR COVERAGE AND LOSS INFORMATION**

1. Has **Applicant** had an application for identity theft, cyber-liability or other insurance policy providing same or similar coverage as the insurance sought declined or has a policy issued to **Applicant** been cancelled or non-renewed by the insurance carrier in the past 3 years?    Yes    No

If yes, give details: \_\_\_\_\_

2. Has **Applicant** experienced any loss that would be covered under this policy in the past 3 years?    Yes    No

If yes, include date, type and amount of loss: \_\_\_\_\_

\_\_\_\_\_

3. Has the **Applicant** had a theft of or unintended release of personal information in the past 3 years?    Yes    No

If yes, did **Applicant** notify the individuals whose information was stolen or released?    Yes    No



If yes, please describe the nature and size of the release and any corrective action taken: \_\_\_\_\_

\_\_\_\_\_

- 4. Has **Applicant** been the subject of an administrative investigation, hearing or disciplinary action conducted by a Federal, State, or Local Regulator that involved identity theft or a privacy violation of any nature in the past 3 years? Yes No

If yes, describe and include any corrective action taken: \_\_\_\_\_

\_\_\_\_\_

- 5. Has Applicant been named in a civil or criminal proceeding that involves identity theft or a privacy violation of any nature? Yes No

If yes, describe the outcome and include any corrective action taken: \_\_\_\_\_

\_\_\_\_\_

**V. ADDITIONAL DOCUMENTS AND INFORMATION INCORPORATED BY REFERENCE**

ALL WRITTEN STATEMENTS, MATERIALS OR DOCUMENTS FURNISHED TO THE INSURER IN CONJUNCTION WITH THIS APPLICATION, REGARDLESS OF WHETHER SUCH DOCUMENTS ARE ATTACHED TO THE POLICY, ARE HEREBY INCORPORATED BY REFERENCE INTO THIS APPLICATION AND MADE A PART HEREOF, INCLUDING WITHOUT LIMITATION ANY SUPPLEMENTAL APPLICATIONS OR QUESTIONNAIRES.

**VI. LEGAL NOTICE AND SIGNATURES**

**BEFORE YOU SIGN THIS APPLICATION, READ THESE NOTICES CAREFULLY AND DISCUSS WITH YOUR BROKER IF YOU HAVE ANY QUESTIONS.**

FOR THE PURPOSES OF THIS APPLICATION, THE UNDERSIGNED DULY AUTHORIZED REPRESENTATIVE OF ALL PERSON(S) OR ENTITIES PROPOSED FOR THIS INSURANCE DECLARES THAT, TO THE BEST OF HER/HER KNOWLEDGE AND BELIEF, AFTER REASONABLE INQUIRY, THE STATEMENTS IN THIS APPLICATION, AND IN ANY ATTACHMENTS, ARE TRUE AND COMPLETE

THE UNDERSIGNED DULY AUTHORIZED REPRESENTATIVE AGREES THAT IF THE STATEMENTS AND INFORMATION SUPPLIED ON THIS APPLICATION OR INCORPORATED BY REFERENCE CHANGES BETWEEN THE DATE OF THIS APPLICATION AND THE EFFECTIVE DATE OF THE INSURANCE, HE/SHE (UNDERSIGNED) WILL, IN ORDER FOR THE INFORMATION TO BE ACCURATE ON THE EFFECTIVE DATE OF THE INSURANCE, IMMEDIATELY NOTIFY THE INSURER OF SUCH CHANGES, AND THE INSURER MAY WITHDRAW OR MODIFY ANY OUTSTANDING QUOTATIONS AND/OR AUTHORIZATIONS OR AGREEMENTS TO BIND THE INSURANCE.

SIGNING OF THIS APPLICATION DOES NOT BIND THE **APPLICANT** OR THE INSURER TO COMPLETE THE INSURANCE, BUT IT IS AGREED THAT THIS APPLICATION AND ANY INFORMATION INCORPORATED BY REFERENCE HERETO, SHALL BE THE BASIS OF THE CONTRACT SHOULD A POLICY BE ISSUED, AND IS INCORPORATED INTO AND IS PART OF THE POLICY.

SHOULD INSURER ISSUE A POLICY, **APPLICANT** AGREES THAT SUCH POLICY IS ISSUED IN RELIANCE UPON THE TRUTH OF THE STATEMENTS AND REPRESENTATIONS IN THIS APPLICATION OR INCORPORATED BY REFERENCE HEREIN. ANY MISREPRESENTATION, OMISSION, CONCEALMENT OR INCORRECT STATEMENT OF A MATERIAL FACT, IN THIS APPLICATION, INCORPORATED BY REFERENCE OR OTHERWISE, SHALL BE GROUNDS FOR THE RESCISSION OF ANY POLICY ISSUED.



**NOTICE TO APPLICANTS:** ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR, CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT ACT, WHICH IS A CRIME AND MAY SUBJECT SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

**STATE FRAUD DISCLOSURES:**

**NOTICE TO ARKANSAS, NEW MEXICO AND WEST VIRGINIA APPLICANTS:** ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT, OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON.

**NOTICE TO COLORADO APPLICANTS:** IT IS UNLAWFUL TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES, DENIAL OF INSURANCE, AND CIVIL DAMAGES. ANY INSURANCE COMPANY OR AGENT OF AN INSURANCE COMPANY WHO KNOWINGLY PROVIDES FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO A POLICYHOLDER OR CLAIMANT FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE POLICYHOLDER OR CLAIMANT WITH REGARD TO A SETTLEMENT OR AWARD PAYABLE FROM INSURANCE PROCEEDS SHALL BE REPORTED TO THE COLORADO DIVISION OF INSURANCE WITHIN THE DEPARTMENT OF REGULATORY AUTHORITIES

**NOTICE TO DISTRICT OF COLUMBIA APPLICANTS:** WARNING: IT IS A CRIME TO PROVIDE FALSE OR MISLEADING INFORMATION TO AN INSURER FOR THE PURPOSE OF DEFRAUDING THE INSURER OR ANY OTHER PERSON. PENALTIES INCLUDE IMPRISONMENT AND/OR FINES. IN ADDITION, AN INSURER MAY DENY INSURANCE BENEFITS IF FALSE INFORMATION MATERIALLY RELATED TO A CLAIM WAS PROVIDED BY THE APPLICANT.

**NOTICE TO FLORIDA APPLICANTS:** ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE ANY INSURER FILES A STATEMENT OF CLAIM OR AN APPLICATION CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY IN THE THIRD DEGREE.

**NOTICE TO KENTUCKY APPLICANTS:** ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME.

**NOTICE TO LOUISIANA APPLICANTS:** ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON.

**NOTICE TO MAINE APPLICANTS:** IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS.

**NOTICE TO NEW JERSEY APPLICANTS:** ANY PERSON WHO INCLUDES ANY FALSE OR MISLEADING INFORMATION ON AN APPLICATION FOR AN INSURANCE POLICY IS SUBJECT TO CRIMINAL AND CIVIL PENALTIES.



**NOTICE TO NEW YORK APPLICANTS:** ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION.

**NOTICE TO OHIO APPLICANTS:** ANY PERSON WHO, WITH INTENT TO DEFRAUD OR KNOWING THAT HE IS FACILITATING A FRAUD AGAINST AN INSURER, SUBMITS AN APPLICATION OR FILES A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT IS GUILTY OF INSURANCE FRAUD.

**NOTICE TO OKLAHOMA APPLICANTS:** WARNING: ANY PERSON WHO KNOWINGLY, AND WITH INTENT TO INJURE, DEFRAUD OR DECEIVE ANY INSURER, MAKES ANY CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY (365:15-1-10, 36 §3613.1).

**NOTICE TO PENNSYLVANIA APPLICANTS:** ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

**NOTICE TO TENNESSEE, VIRGINIA AND WASHINGTON APPLICANTS:** IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS.

**NOTICE TO VERMONT APPLICANTS:** ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR, CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT ACT, WHICH MAY BE A CRIME AND MAY SUBJECT SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

The undersigned is a duly authorized representative of the **Applicant** and hereby acknowledges that reasonable inquiry has been made to obtain the answers herein which are true, correct, and complete to his/her best knowledge and belief.

Signed \_\_\_\_\_ Date \_\_\_\_\_  
(Duly authorized representative, by and on behalf of the **Applicant**)

Name \_\_\_\_\_  
Title \_\_\_\_\_  
(must be signed by an authorized officer)



Please read the following statement carefully and sign where indicated.

The undersigned duly authorized representative of the **Applicant** hereby acknowledges that he/she is aware that the limit of insurance contained in this policy for all applicable Insuring Agreements shall be reduced, and may be completely exhausted, by defense costs and, in such event, we shall not be liable for defense costs or for the amount of any judgment or settlement to the extent that such exceeds the limit of insurance of this Policy.

The undersigned duly authorized representative of the **Applicant** hereby acknowledges the he/she is aware that, with respect to all applicable Insuring Agreements, defense costs that are incurred shall be applied against the retention amount.

Signed \_\_\_\_\_ Date \_\_\_\_\_  
(Duly authorized representative, by and on behalf of the **Applicant**)

Name \_\_\_\_\_

Title \_\_\_\_\_  
(must be signed by an authorized officer)